

# Quantization of Hamiltonian $LG$ -spaces

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# The Hamiltonian $[Q, R] = 0$ Theorem

- $G$  compact Lie group, Lie algebra  $\mathfrak{g}$
- $\phi : M \rightarrow \mathfrak{g}^*$  compact Hamiltonian  $G$ -space
- $L \rightarrow M$   $G$ -equiv prequantum line bundle
- $D_L$  Dolbeault-Dirac operator for compatible almost  $\mathbb{C}$  structure, twisted by  $L$
- $Q(M) := \text{index}(D_L) \in R(G)$

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Theorem (Meinrenken) “Guillemin-Sternberg principle”

Assume 0 is a regular value. Then

$$Q(M)^G = Q(M//G) \quad (\text{where } M//G = \phi^{-1}(0)/G)$$

# “Witten deformation” approach (Tian-Zhang)

$v_M$  Hamiltonian vector field of  $\frac{1}{2}\|\phi\|^2$

$$D_t = D - itc(v_M), \quad t \in \mathbb{R}$$

Then

$$D_t^2 = D^2 - it[D, c(v_M)] + t^2\|v_M\|^2$$

→ Cross term bounded on  $L^2(M, S)^G$ .

Large  $t$ , elements in  $\ker(D_t^2)^G$  concentrate near

$$\phi^{-1}(0) \subset \{v_M = 0\} = \text{Crit}(\|\phi\|^2)$$

# Abelianize the problem

If  $\phi : M \rightarrow \mathfrak{g}^*$  **transverse** to  $\mathfrak{t}^* \Rightarrow$

$$X := \phi^{-1}(\mathfrak{t}^*), \quad S_{TX} := \text{Hom}_{\text{Cliff}(\mathfrak{g}/\mathfrak{t})}(S_{\mathfrak{g}/\mathfrak{t}}, S_{TM})$$
$$Q(X) := \text{index}(D_X) \in R(T).$$

$$\Rightarrow Q(M)(t) = \frac{Q(X)(t)}{\prod_{\alpha > 0} (1 - t^{-\alpha})}, \quad t \in T$$

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Not transverse?  $\rightarrow$  use  $\mathfrak{t}^* \subset U \subset \mathfrak{g}^*$

$$X = \phi^{-1}(U), \quad Q(X) := \langle D_X, \phi_{\mathfrak{g}/\mathfrak{t}}^* \mathbf{b} \rangle$$

with  $b \in K_T^0(\mathfrak{g}/\mathfrak{t})$  Bott element

## Definition

The loop group  $LG$  is the set of maps  $S^1 \rightarrow G$  of fixed Sobolev class  $s > 1/2$ . It is a (Banach) Lie group.

## Useful subgroups

- $G \subset LG$  constant loops
- $LT \subset LG$  abelian subgroup
- $\Omega G \subset LG$  based loop group ( $\gamma(0) = e$ )
- $\Lambda = \ker(\exp : \mathfrak{t} \rightarrow T) \subset \Omega G$  exponential loops

$$\lambda \in \Lambda, \quad \gamma_\lambda(t) = \exp(t\lambda)$$



We will assume  $G$  compact, simple,  $\pi_0(G) = \pi_1(G) = 0$ .

Central extensions

$$1 \rightarrow U(1) \rightarrow \widehat{LG} \rightarrow LG \rightarrow 1$$

classified by an integer called the **level**.

Lie algebra cocycle is:

$$c(\xi_1, \xi_2) = \frac{1}{2\pi} \int_{S^1} B(\xi_1(t), \xi_2'(t)) dt, \quad \xi_1, \xi_2 \in L\mathfrak{g} = \Omega^0(S^1, \mathfrak{g})$$

$B$  is the (basic) inner product on  $\mathfrak{g}$

$L\mathfrak{g}^* = \Omega^1(S^1, \mathfrak{g})$ ,  $LG$  acts by gauge transformations:

$$g \cdot \xi = \text{Ad}_g \xi - dg g^{-1}.$$

## Definition

A *Hamiltonian  $LG$ -space*  $(\mathcal{M}, \omega, \Psi)$  consists of a weakly symplectic (Banach)  $LG$ -manifold, equipped with a proper moment map  $\Psi : \mathcal{M} \rightarrow L\mathfrak{g}^*$ .

# Quantization for $q$ -Hamiltonian $G$ -spaces

Quotient by  $\Omega G \subset LG$

$$\begin{array}{ccc} \mathcal{M} & \xrightarrow{\psi} & L\mathfrak{g}^* \\ \downarrow / \Omega G & & \downarrow / \Omega G \\ M & \xrightarrow{\Phi} & G \end{array}$$

$M$  is a **quasi-Hamiltonian**  $G$ -space (Alekseev-Malkin-Meinrenken). Need not have a  $G$ -equivariant spin-c structure.

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Moreover, there is a  $[Q, R] = 0$  Theorem (AMW '01).

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Can we work on  $\mathcal{M}$ , obtain an  $LG$ -rep directly, and prove  $[Q, R] = 0$  using “Witten deformation”? We do something intermediate.

- Construct spinor bundle  $S_{T\mathcal{M}} \rightarrow \mathcal{M}$ .
- Finite-dim (non-compact)  $X \subset \mathcal{M}$ , induced spinor bundle  $S$ .
- Prove Dirac operator  $D_X$  Fredholm on each  $T$ -isotypic component of  $L^2(X, S)$

$$\Rightarrow Q(X) := \text{index}(D_X) \in R^{-\infty}(T).$$

- Witten deformation  $D_t = D - itc(v_X)$  to prove  $[Q, R] = 0$ .

## Remark

Also prove compatibility with the earlier twisted K-homology definition, and a “norm-square localization” formula for  $Q(X)$ .

# Completing the tangent bundle

Can complete fibres of tangent bundle

$$TM \rightarrow \mathbf{T}M$$

such that  $\omega$  becomes **strongly symplectic**:

$$\omega_m^b : \mathbf{T}_m\mathcal{M} \xrightarrow{\sim} (\mathbf{T}_m\mathcal{M})^*$$

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## Definition

A complex structure  $J$  on  $(V, \omega)$  is **compatible** with  $\omega$  if

$$g_J(-, -) = \omega(J-, -)$$

defines a (positive definite) inner product.



## Existence

$\mathcal{M}$  has finite-dimensional symplectic cross-sections  $Y_\sigma$

$$\mathcal{M} = \bigcup_{\sigma} LG \cdot Y_{\sigma}, \quad LG \cdot Y_{\sigma} \simeq LG \times_{(LG)_{\sigma}} Y_{\sigma}$$

Patch together almost complex structures on the  $LG \cdot Y_{\sigma}$

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The spinor bundle:

$$S_{\mathbf{T}\mathcal{M}} = \overline{\wedge_J \mathbf{T}\mathcal{M}} \quad (\text{"Fock space"})$$

$(V, \omega)$  finite dim  $\Rightarrow$  any two compatible  $J_1, J_2$  homotopic.

## Definition

A **polarization** of a real Hilbert space  $V$  is an element  $J \in \mathbb{B}(V)$  such that  $J^2 = -1 \text{ mod } \mathbb{B}_{HS}(V)$ .

Polarization of  $L\mathfrak{g}$  with

$$(+i) \text{ eigenspace} = \bigoplus_{n>0} \mathfrak{g}_{\mathbb{C}} z^n, \quad (-i) \text{ eigenspace} = \bigoplus_{n<0} \mathfrak{g}_{\mathbb{C}} z^n$$

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## Lemma

*Up to homotopy, there is a unique LG-invariant compatible almost complex structure  $J$  on  $\mathbf{T}\mathcal{M}$  such that the action map  $L\mathfrak{g} \rightarrow T_m\mathcal{M}$  is polarization-preserving.*

**For simplicity, in this talk we assume  $\Psi$  transverse to  $\mathfrak{t}^*$ . Then**

$$X := \Psi^{-1}(\mathfrak{t}^*) \subset \mathcal{M}$$

is a  $T \times \Lambda$ -invariant submanifold.

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More generally we have:

## Lemma

*There is a  $T \times \Lambda$ -invariant submanifold  $\mathfrak{t}^* \subset U \subset L\mathfrak{g}^*$ ,  $\dim(U) = \dim(\mathfrak{g})$ , which is transverse to  $\Psi$ . Thus  $X = \Psi^{-1}(U)$  is smooth.*

Idea:  $L\mathfrak{g}^* = P_e G \rightarrow G$  is a principal  $\Omega G$ -bundle, and can choose an  $LG$ -invariant connection. The connection tells you in which directions to “thicken”  $\mathfrak{t}^* \subset L\mathfrak{g}^*$ .

$X = \Psi^{-1}(\mathfrak{t}^*)$  has normal bundle

$$\nu(X, \mathcal{M}) \simeq X \times (L\mathfrak{g}/\mathfrak{t}), \quad (\text{via } \mathbf{T}\Psi)$$

$$S_{TX} := \text{Hom}_{\text{Cliff}(L\mathfrak{g}/\mathfrak{t})}(S_{L\mathfrak{g}/\mathfrak{t}}, S_{\mathbf{T}\mathcal{M}})$$

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## Subtleties

- What about non-uniqueness of Clifford representations in infinite dimensions—is  $S_{TX}$  above correct (or even non-zero)?
- Equivariance under action of the lattice  $\Lambda$ ?



## Theorem (Shale-Stinespring)

*The  $\text{Cliff}(V)$ -representations  $\overline{\wedge}_J V$  and  $\overline{\wedge}_{J'} V$  associated to two orthogonal complex structures  $J, J'$  are unitarily equivalent iff  $J - J'$  is Hilbert-Schmidt. (Unique up to phase.)*

$$X \times \mathfrak{Lg}/\mathfrak{t} \subset \mathbf{T}\mathcal{M}|_X, \quad (\text{via } \mathbf{T}\Psi)$$

Using cross-sections

$$\mathcal{M} = LG \cdot \bigcup_{\sigma} Y_{\sigma}, \quad LG \cdot Y_{\sigma} = LG \times_{(LG)_{\sigma}} Y_{\sigma}$$

one checks that almost complex structures indeed differ by Hilbert-Schmidt.

## Definition

Fix  $(V, J)$ . The *restricted orthogonal group*  $O_{\text{res}}(V)$  is the set of  $a \in O(V)$  commuting with  $J$  modulo Hilbert-Schmidt operators.

$$\Rightarrow \quad 1 \rightarrow U(1) \rightarrow \widehat{O}_{\text{res}}(V) \rightarrow O_{\text{res}}(V) \rightarrow 1$$

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$$\Rightarrow \quad 1 \rightarrow U(1) \rightarrow \widehat{O}_{\text{res}}(V) \rightarrow O_{\text{res}}(V) \rightarrow 1$$

$LT \circlearrowleft L\mathfrak{g}/\mathfrak{t}$ , and in fact

$$LT \rightarrow O_{\text{res}}(L\mathfrak{g}/\mathfrak{t})$$

$\Rightarrow S_{L\mathfrak{g}/\mathfrak{t}}$  is  $\widehat{LT}^{(h^\vee)}$ -equivariant.  $T \times \Lambda \subset LT \Rightarrow T \times \widehat{\Lambda}^{(h^\vee)} \subset \widehat{LT}^{(h^\vee)}$

$$\widehat{\lambda} t \widehat{\lambda}^{-1} t^{-1} = t^{h^\vee B^b(\lambda)} \text{ in } T \times \widehat{\Lambda}^{(h^\vee)}$$

$h^\vee$  dual Coxeter number,  $B$  the basic inner product.

$\Rightarrow S_{TX}$  is  $T \ltimes \hat{\Lambda}^{(h^\vee)}$ -equivariant. Twist by level  $k$  prequantum line bundle

$$S = S_{TX} \otimes L \quad (T \ltimes \hat{\Lambda}^{(\ell)}\text{-equivariant, } \ell := k + h^\vee)$$

Let  $D$  be a Dirac operator for  $S$ , and  $H = L^2(X, S)$ .

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## Theorem

$D$  is Fredholm on each isotypic component  $H(\mu)$ ,  $\mu \in \Lambda^*$ .

Define

$$Q(X) = \text{index}(D) \in R^{-\infty}(T).$$

**Note:**  $Q(X)$  in fact  $W_{\text{aff}}$  anti-symmetric. Dividing by Weyl-Kac denominator gives a character  $Q(\mathcal{M})$  of a level  $k$  positive energy rep of  $LG$ .

Choose  $\chi$  a normalizing function so that  $F = \chi(D)$  is properly supported. Choose  $f \in C_c^\infty(X)$ , a  $T$ -invariant bump function such that  $\{\lambda \cdot f | \lambda \in \Lambda\}$  is partition of unity. Set  $A = f \cdot (F^2 - 1)$ . Then

$$F^2 - 1 = \sum \hat{\lambda} A \hat{\lambda}^{-1}$$

essentially a direct sum of operators, and each term is compact.

As  $A$  is compact,

$$\|A\|_{H(\nu)} \xrightarrow{\nu \rightarrow \infty} 0.$$

**But**  $\hat{\lambda}^{-1}$  maps  $H(\mu)$  isometrically to  $H(\mu + \ell B^b(\lambda))$ , so

$$\|\hat{\lambda} A \hat{\lambda}^{-1}\|_{H(\mu)} = \|A\|_{H(\mu + \ell B^b(\lambda))} \xrightarrow{\lambda \rightarrow \infty} 0.$$

$\Rightarrow$  sum converges in norm when restricted to  $H(\mu)$ .

Define

$$v := \Psi(1 + \|\Psi\|^2)^{-1/2} : X \rightarrow \mathfrak{t}^* \simeq \mathfrak{t}, \quad v_X \in \Gamma(TX)$$

$$D_t = D - itc(v_X), \quad t \geq 0.$$

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Then

$$\begin{aligned} D_t^2 &= D^2 + it[D, c(v_X)] + t^2\|v_X\|^2 \\ &= D^2 + t^2\|v_X\|^2 + 2t\langle \mu, v \rangle + 2itv^k \mathcal{L}_k^S + itB \end{aligned}$$

- $\mu$  is the *spin-c moment map*,  $\mathcal{L}_\xi^{\det(S)} - \nabla_\xi^{\det(S)} = 2i\langle \mu, \xi \rangle$
- $v = \sum v^k e_k$ ,  $e_k$  an orthonormal basis of  $\mathfrak{t}$
- $B$  is a bounded operator



$$D_t^2 = D^2 + t^2\|v_X\|^2 + 2t\langle\mu, v\rangle + 2itv^k\mathcal{L}_k^S + itB$$

Restricted to an isotypic component,  $\mathcal{L}_k^S$  become **bounded** (as in Tian-Zhang).

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Restricted to an isotypic component,  $\mathcal{L}_k^S$  become **bounded** (as in Tian-Zhang). On other hand,

$$\langle\mu, v\rangle(x) \rightarrow \infty, \quad \text{as } x \rightarrow \infty \text{ in } X.$$

$\Rightarrow D_t$  Fredholm on each isotypic component, and

$$\text{index}(D) = \text{index}(D_t), \quad \forall t \geq 0$$

For large  $t$ , sections in kernel concentrate near

$$\{v_X = 0\} = \text{Crit}(\|\Psi\|^2) \cap X.$$

# Which components of $\text{Crit}(\|\Psi\|^2)$ contribute?

$$\text{Crit}(\|\Psi\|^2) \cap X = \bigcup_{\beta \in \mathcal{B}} X^\beta \cap \Psi^{-1}(\beta)$$

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For large  $t$ ,  $C = X^\beta \cap \Psi^{-1}(\beta)$  does not contribute to  $\text{index}(D_t)^T$  if

$$d(\beta) = \langle \mu, \beta \rangle + \frac{1}{4} \text{Tr}_{\nu(C, X)}(|\mathcal{L}_\beta|) - 2\langle \rho, \beta \rangle > 0$$

where  $\langle \mu, \beta \rangle$  is constant on  $C$ ,  $\mathcal{L}_\beta \in \Gamma(\text{End}(\nu(C, X)))$ .

(Have returned to the general setting, with  $\Psi$  not necessarily transverse.)

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(Have returned to the general setting, with  $\Psi$  not necessarily transverse.)

Detailed behavior of  $\mu \Rightarrow d(\beta)$  bounded below by expression only involving **Lie algebra data** (!)

The Stiefel diagram is an affine hyperplane arrangement in  $\mathfrak{t}$ :

$$\langle \alpha, x \rangle + n = 0, \quad \alpha \in \mathcal{R}, n \in \mathbb{Z}.$$

$v$  a vertex and  $K = G_{\exp(v)}$ . Let  $\rho, \rho_K$  be half-sums of positive roots for  $G, K$ , and let

$$c_K = \frac{\rho + \rho_K}{2h^v}.$$

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**Theorem:** Identify  $\mathfrak{t} \simeq \mathfrak{t}^*$  (basic inner product). Then

$$\|v - c_K\| \geq \|c_K\|.$$

Special case:  $G = SU(N)$ , then  $c_K = c$  is the barycenter of the alcove.

$\Rightarrow$  for large  $t$ , elements of  $\ker(D_t)^T$  concentrated near  $\Psi^{-1}(0) \cap X$ .

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## Remarks

- Non-transverse case: study instead index pairing between  $D$  and pull-back of Bott class on  $\mathfrak{g}/\mathfrak{t}$ . Introduces extra terms, argument mostly unchanged.
- More work gives a “norm-square localization formula” for  $Q(X)$ .
- Possible to interpret  $(L^2(X, S), D)$  as defining a class in twisted K-homology  $K_0^T(G, \mathcal{A}^{(\ell)})$ —connects  $Q(X)$  with earlier work on q-Hamiltonian spaces.