

Math 677.  
Lecture 21.

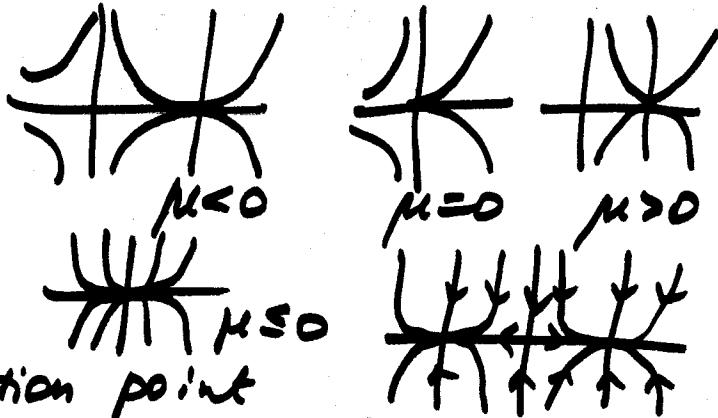
Exam: Tue Dec 15 4:30 - 7:15

2d systems, nonhyperbolic crit.pt bifurcations

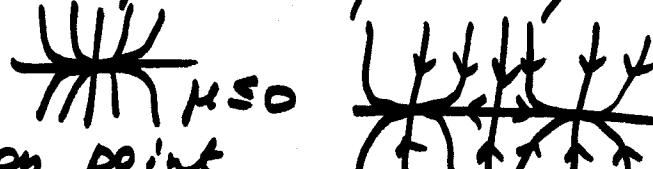
1)  $\begin{cases} \dot{x} = \mu x - x^2 \\ y = -y \end{cases}$  saddle-node bifurcation



2)  $\begin{cases} \dot{x} = \mu x - x^2 \\ y = -y \end{cases}$  transcritical



3)  $\begin{cases} \dot{x} = \mu x - x^3 \\ y = -y \end{cases}$  pitchfork



All cases:  $x_0 = 0 \leftarrow$  bifurcation point  
 $\mu_0 = 0 \leftarrow$  bifurcation value of  $\mu$ .

Higher-codimension bifurcations.

(1)  $\dot{x} = f(x, \mu)$   $\mu \in \mathbb{R}^m$

$f(x_0, \mu_0) = 0$ ,  $Df(x_0, \mu_0)$  has at least one  $\lambda_i$  such that  $\text{Re}(\lambda_i) = 0$

① Suppose that  $\lambda_1 = 0$ ,  $\text{Re}(\lambda_2, \dots, \lambda_m) \neq 0$

then  $\dot{x} = F(x, \mu) \leftarrow$  flow on center manifold  
 close to  $x_0$ ,  $\mu \approx \mu_0$

Captures the behavior of (1).

Def.  $f(x, \mu_0) = f_0(x)$



structurally unstable v.f.

$f(x, \mu)$ : unfolding of  $f_0(x)$  at a nonhyperbolic crit. pt  $x_0$

it is called a universal unfolding if any other unfolding is top. equivalent to a family of v.f. defined by (1) in a neighbourhood of  $x_0$ .

Codimension of the bifurcation = min number of parameters in a universal unfolding.

Some examples:

① Saddle-node Bifurcation

$F(x, 0) = F_0(x) = \alpha x^2$  normal form of the

$\dot{x} = -x^2$  (by rescaling time) v.f. on center manifold

"  $f_0(x)$  - structurally unstable v.f.

if  $\dot{x} = -x^2 + \mu_3 x^3 : x=0$ , - nonhyperbolic

$x = \frac{1}{\mu_3}$  - hyperbolic

$\Rightarrow$  h.o.t. will not affect  $\rightarrow 0$  as  $\mu_3 \rightarrow 0$ .  
the universal unfolding.

if  $\dot{x} = \mu_1 + \mu_2 x - x^2$

shift  $(0, 0)$  to  $x = (\frac{\mu_2}{2}, 0) \Rightarrow \dot{x} = \mu_1 - x^2$

$\Rightarrow \boxed{\dot{x} = \mu_1 - x^2}$

universal unfolding.

$$\mu_1 = \mu_1 + \frac{\mu_2^2}{4}$$

Codim = 1

②

~~Pitchfork~~  $F(x, 0) = F_0(x) = \alpha x^3$

$\sim \dot{x} = -x^3 = f_0(x)$

h.o.t. will not affect the phase portrait

$\Rightarrow \dot{x} = \mu_1 + \mu_2 x + \underbrace{\mu_3 x^2}_{\downarrow \text{gone after translating}} - x^3$

to  $(\frac{\mu_3}{3}, 0)$ .

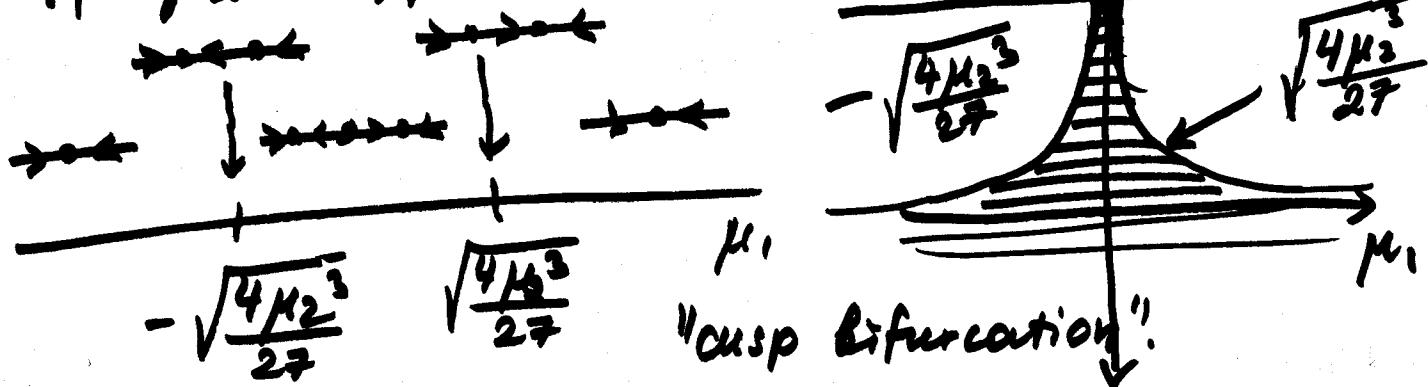
$\Rightarrow \underbrace{\dot{x} = \mu_1 + \mu_2 x - x^3}_{\text{Codim} = 2}$  universal unfolding  
 $\boxed{\mu_1 + \mu_2 x - x^3 = 0}$

if  $\mu_2 > 0$ :  $\mu_1^2 < \frac{4\mu_2^3}{27} \leftrightarrow 3 \text{ roots}$

$\mu_1^2 = \frac{4\mu_2^3}{27} \leftrightarrow 2 \text{ roots}$

$\mu_1^2 > \frac{4\mu_2^3}{27} \leftrightarrow 1 \text{ root}$

if  $\mu_2 \leq 0, \mu_1 \in \mathbb{R} \rightarrow 1 \text{ root}$



Ex. 3 in prev. lecture:

$\dot{x} = \mu x - x^3$  didn't capture cases of  
 $\mu_1^2 = \frac{4\mu_2^3}{27} \Rightarrow$  was not

a universal unfolding.