

## Image Compression.

### A. Transform Image Coding.

1. The Transform Step
  - a. Apply an invertible transform  $T$ .
  - b.  $T$  decorrelates the data, i.e., removes redundancy or hidden structure.
  - c. Usually  $T$  is an orthogonal transformation.
  - d. This step is *lossless*.
2. The Quantization Step.
  - a. Output of  $T$  are high-precision floating-point numbers so require many bits to store.
  - b. Quantization essentially rounds off these numbers so that they require fewer bits to store.
  - c. This step is *lossy* and all error occurs at this stage.
3. The Coding Step.
  - a. If  $T$  does a good job then most of the transformed coefficients will be close to zero. Quantization actually sets them to zero.
  - b. Output of Step 2. is a bit-stream containing long stretches of zeros.
  - c. Such bit-streams can be coded efficiently.

### B. Scalar Quantization.

1. A quantization function  $Q(x)$  is a step function whose range is the integers and such that the inverse image of each integer  $n$  is an interval.
2. The dequantizing function,  $Q^{-1}$ , defined on the integers, maps  $n$  to the midpoint of the inverse image of  $n$  under  $Q$ .

### C. Coding.

**Definition 1** A symbol source is a finite set  $S = \{s_1, s_2, \dots, s_q\}$  together with associated probabilities given by  $p_i = P(s_i)$  for  $1 \leq i \leq q$ . Here  $0 \leq p_i \leq 1$  and  $\sum p_i = 1$ .

A binary code,  $C$ , is a finite set of finite length strings of 0's and 1's. Each element of  $C$  is called a codeword. A coding scheme is a one-to-one mapping  $f$  from  $S$  into  $C$ . The average codeword length of  $f$  is given by

$$ACL(f) = p_1 \text{len}(f(s_1)) + p_2 \text{len}(f(s_2)) + \dots + p_q \text{len}(f(s_q)).$$

### Examples.

- (a) Let  $S = \{A, B, C, D\}$ , and let  $P(A) = 5/8$ ,  $P(B) = 3/16$ ,  $P(C) = 1/16$ , and  $P(D) = 1/8$ . Consider the code  $C = \{00, 01, 10, 11\}$  and the coding scheme

$$\begin{aligned} A &\longrightarrow 00, \\ B &\longrightarrow 01, \\ C &\longrightarrow 10, \\ D &\longrightarrow 11. \end{aligned}$$

The average codeword length for this coding scheme is

$$5/8 \cdot \text{len}(00) + 3/16 \cdot \text{len}(01) + 1/16 \cdot \text{len}(10) + 1/8 \cdot \text{len}(11) = 5/8 \cdot 2 + 3/16 \cdot 2 + 1/16 \cdot 2 + 1/8 \cdot 2 = 2.$$

- (b) Let's consider a different coding scheme.

$$\begin{aligned} A &\longrightarrow 0, \\ B &\longrightarrow 10, \\ C &\longrightarrow 111, \\ D &\longrightarrow 110. \end{aligned}$$

The ACL for this coding scheme is

$$5/8 \cdot 1 + 3/16 \cdot 2 + 1/16 \cdot 3 + 1/8 \cdot 3 = 25/16 = 1.5625.$$

This scheme will be about  $1.5625/2 = .78125$  or about 22% more efficient.

### The Prefix Property.

**Definition 2** A binary coding scheme  $f$  has the prefix property if no codeword appears as the prefix of any other codeword.

- (a) This property guarantees that every string of codewords can be uniquely deciphered  
(b) Moreover it guarantees that each codeword can be deciphered as soon as it is read.

### Entropy.

**Definition 3** The entropy of a symbol source  $S$  is defined by

$$H(S) = - \sum_{i=1}^q P(s_i) \log_2(P(s_i)).$$

*Intuitively,  $H(S)$  measures the amount of uncertainty or information in the source. The more “uncertain” a particular outcome, the more “information” is contained in the outcome.*

Entropy has a number of natural properties sufficient to uniquely define it.

- (a) A symbol source  $S$  for which  $P(s_i) = 1$  for some  $i$  and  $P(s_j) = 0$  for  $j \neq i$  has no uncertainty, and the average amount of information in each output is zero.
- (b) The source with the most uncertainty is one in which each symbol is equally likely.
- (c) Adding symbols to a source that has no chance of occurring does not change the amount of uncertainty or the average amount of information in the source.
- (d) If a pair of independent sources are putting out symbols simultaneously, then the information in the paired source is the sum of the information in each source separately. Specifically, given sources  $A = \{a_1, \dots, a_q\}$  and  $B = \{b_1, \dots, b_r\}$ , define a new source

$$AB = \{a_i b_j\}_{1 \leq i \leq q; 1 \leq j \leq r}$$

with  $P(a_i b_j) = P(a_i)P(b_j)$ . Then

$$H(AB) = H(A) + H(B).$$

### Coding and Compression.

- (a) Given a symbol source  $S$  with  $q = 2^s$  symbols, suppose that we are trying to code an output of that source of length  $M$ , where  $M$  is large. We call this data output a message, or a data stream, or a bit stream, or an image.
- (b) Since each symbol requires  $s$  bits, we can represent the data using  $sM$  bits. By coding efficiently we want to reduce the number of bits representing each symbol.
- (c) for a coding scheme  $f$ , and if  $M$  is large, we expect to be able to represent each symbol with  $ACL(f)$  bits on average, so that the entire message can be represented with  $ACL(f) \cdot M$  bits.
- (d) In the context of image compression, we say that using the coding scheme  $f$ , we can compress the image at  $ACL(f)$  bits per pixel. Also, we can say that the compression ratio is  $s/ACL(f)$ .

### Compression and Entropy.

**Theorem 1** *Let  $S$  be a symbol source, and let  $\min ACL(S) = \min(ACL(f))$ , where the minimum is taken over all coding schemes,  $f$ , of  $S$ . Then*

$$H(S) \leq \min ACL(S) \leq H(S) + 1.$$

Note that no matter what coding scheme we use, we have to use at least one bit for each codeword in the scheme. Hence it is always true that  $ACL(f) \geq 1$ . How do we get around this?

**Definition 4** *Given a symbol source*

$$S = \{s_1, s_2, \dots, s_q\}$$

*with associated probabilities  $P(s_i) = p_i$ , define the  $n$ th extension of  $S$  to be the set*

$$S^n = \{s_{i_1} s_{i_2} \cdots s_{i_n} \mid 1 \leq i_1, i_2, \dots, i_n \leq q\}$$

*with associated probabilities*

$$P(s_{i_1} s_{i_2} \cdots s_{i_n}) = p_{i_1} p_{i_2} \cdots p_{i_n}.$$

**Theorem 2** *Let  $S$  be a symbol source and  $S^n$  its  $n$ th extension. Then  $H(S^n) = n H(S)$ .*

**Theorem 3** *Let  $S$  be a symbol source, and let  $S^n$  be its  $n$ th extension. Then*

$$H(S) \leq \frac{\min ACL(S^n)}{n} \leq H(S) + \frac{1}{n}.$$

*Here  $\min ACL(S^n) = \min(ACL(f))$ , where the minimum is taken over all coding schemes of  $S^n$ .*

Consequently, we can use the entropy as a very good approximation to the optimal ACL, and hence as a measure of compression rate.