

10.5 Implicit Functions.

A. Examples.

1. Consider the equation $x^2 + y^2 = 1$. Does there exist a function $g(x)$ such that for all $x \in [-1,1]$, $x^2 + g(x)^2 = 1$? In other words, can we solve this equation for y in terms of x ?

Answer: Clearly no in general, but we can say the following: Given a point (x_0, y_0) on the curve for which $\frac{dy}{dx} = -\frac{x}{y}$ is defined (that is, if $y_0 \neq 0$), there is a small open interval (a, b) containing x_0 such that such a $g(x)$ exists for $x \in (a, b)$. Also note that if $y_0 = 0$ then no such interval or function exists.

2. Suppose we have a curve in the plane given by $f(x, y) = 0$.

$$\frac{dy}{dx} = -\frac{\frac{\partial f}{\partial x}}{\frac{\partial f}{\partial y}}$$

so the curve has a nonvertical tangent exactly when $\frac{\partial f}{\partial y} \neq 0$ and we should be able to solve for y in terms of x . But how?

3. Define $F: \mathbb{E}^2 \rightarrow \mathbb{E}^2$ by $F(x, y) = (x, f(x, y))$.

B. Theorem (10.5.1) Suppose $f \in \mathcal{C}^1(D, \mathbb{E}^m)$ where D is an open subset of \mathbb{E}^{n+m} and that for some point $(\mathbf{x}_0, \mathbf{y}_0) \in D$, $f(\mathbf{x}_0, \mathbf{y}_0) = \mathbb{0}$ and

$$\frac{\partial(f_1, \dots, f_m)}{\partial(y_1, \dots, y_m)}(\mathbf{x}_0, \mathbf{y}_0) \neq 0$$

Then there is an open set $U \subseteq \mathbb{E}^n$ containing \mathbf{x}_0 and a function $\mathbf{g} \in \mathcal{C}^1(U, \mathbb{E}^m)$ such that for all $\mathbf{x} \in U$, $f(\mathbf{x}, \mathbf{g}(\mathbf{x})) = \mathbb{0}$.

4. Example. $f: \mathbb{E}^2 \rightarrow \mathbb{E}^2$ given by
 $f(x, y) = (x^2 + y^2, x + y)$

5. Definition. (Local invertibility) A function $f: \mathbb{E}^n \rightarrow \mathbb{E}^n$ is *locally one-to-one* in an open set V if for every $\mathbf{x}_0 \in V$, there is an $\epsilon > 0$ such

that f restricted to $B(\mathbb{x}_0, \epsilon)$ is one-to-one. If f is one-to-one on a set E then we say f is *globally one-to-one* on E .

6. Example. Let $f(x, y) = (x \cos y, x \sin y)$ be defined on the open set $V = \{(x, y): x > 0\}$. Then f is locally 1 – 1 on V but not globally 1 – 1 on V .

C. The Jacobian.

1. Definition. Suppose that $f: D \subseteq \mathbb{E}^n \rightarrow \mathbb{E}^n$ is in $C^1(D, \mathbb{E}^n)$. Then the *Jacobian* of f at $\mathbf{x} \in D$ is given by $\det(f'(\mathbf{x}))$.
2. Theorem. Suppose that $f: D \subseteq \mathbb{E}^n \rightarrow \mathbb{E}^n$, D an open subset of \mathbb{E}^n , is in $C^1(D, \mathbb{E}^n)$, and suppose that $\det(f'(\mathbf{x})) \neq 0$ for all $\mathbf{x} \in D$. Then f is locally one-to-one in D .

D. Inverse Function Theorem.

1. Lemma. (Open Mapping Theorem, Thm. 10.4.2). Suppose $f \in C^1(D, \mathbb{E}^n)$ where $D \subseteq \mathbb{E}^n$ is open. If $\det(f'(x)) \neq 0$ for all $x \in D$, then f is an open mapping, that is, f maps open subsets of D to open subsets of \mathbb{E}^n .

2. Theorem (10.4.3). Suppose $f \in C^1(D, \mathbb{E}^n)$ where $D \subseteq \mathbb{E}^n$ is open. If $\det(f'(x)) \neq 0$ for all

$\mathbf{x} \in D$, and if f is globally one-to-one on D , then $f^{-1} \in C^1(f(D), \mathbb{E}^n)$ and

$$(f^{-1})'(f(\mathbf{x})) = (f'(x))^{-1}$$