

$$f: D \rightarrow \mathbb{E}^m$$

$$\subset \mathbb{E}^n$$

$f \in C(D) \iff$   
 $\forall O \subseteq \mathbb{E}^m$  open  
 $f^{-1}(O) \subseteq D$  is relatively open

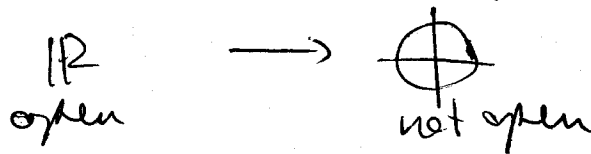
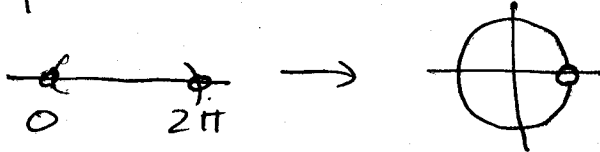
Remark. (a) Note that it is not necessarily true that the forward image of an open set by a continuous function is open.

$$f: \mathbb{R} \rightarrow \mathbb{R}^2$$

$$t \mapsto (\cos t, \sin t)$$

$$f(x) = \sin x$$

$$\mathbb{R} \rightarrow [-1, 1].$$



(b) Nor is it necessarily true that the forward image of a closed set by a continuous function is closed.

$$f(x) = \frac{1}{x}$$

$$[1, \infty) \rightarrow [0, 1]$$

closed                  not closed

$$f(x) = \arctan(x)$$

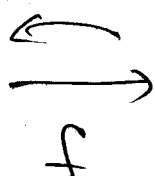
$$\mathbb{R} \rightarrow \left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$$

closed                  open and not closed.

**Theorem (9.3.1).** Let  $f \in C(D)$ ,  $f: D \rightarrow \mathbb{E}^m$ . If  $D$  is compact, then  $f(D) = \{f(x): x \in D\}$  is compact.

In fact, if  $f: \mathbb{R} \rightarrow \mathbb{R}$  is continuous  
 $f([a, b]) = [c, d]$ .  $f^{-1}(S) = \{x: f(x) \in S\}$

PR: Suppose  $D$  compact. Show  $f(D)$  is compact.  
 Let  $\{\mathcal{O}_\alpha\}$  be an open cover of  $f(D)$ . We want to extract a finite subcover. Consider the

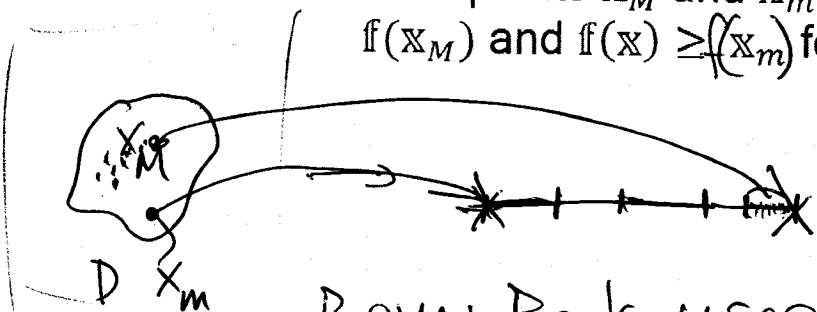


collection  $\{f^{-1}(\mathcal{O}_\alpha)\}$ . Since  $f \in C(D)$ , each  $f^{-1}(\mathcal{O}_\alpha)$  is relatively open in  $D$ .

Hence there are open subsets of  $\mathbb{E}^n$ , call them  $\{U_\alpha\}$  such that  $f^{-1}(\mathcal{O}_\alpha) = U_\alpha \cap D$ . Claim:  $\{U_\alpha\}$  is an open cover of  $D$ , i.e.  $D \subseteq \bigcup_\alpha U_\alpha$ . Let  $x \in D$  then  $f(x) \in f(D)$  so  $\exists \alpha_0$  such that  $f(x) \in \mathcal{O}_{\alpha_0}$  so that  $x \in f^{-1}(\mathcal{O}_{\alpha_0}) \subseteq U_{\alpha_0} \subseteq \bigcup U_\alpha$ . Since  $D$  is compact there exist  $\alpha_1, \dots, \alpha_N$  such that  $D \subseteq \bigcup_{i=1}^N U_{\alpha_i}$ .

Claim:  $f(D) \subseteq \bigcup_{i=1}^N \mathcal{O}_{\alpha_i}$ . Let  $y \in f(D)$ . Then for some  $x \in D$ ,  $f(x) = y$ . Also there is an  $i_0$  such that  $x \in U_{i_0}$ . Hence  $x \in U_{i_0} \cap D = f^{-1}(\mathcal{O}_{\alpha_{i_0}})$ , and so  $f(x) \in \mathcal{O}_{\alpha_{i_0}} \subseteq \bigcup_{i=1}^N \mathcal{O}_{\alpha_i}$ . So  $y \in \bigcup_{i=1}^N \mathcal{O}_{\alpha_i}$ . Hence  $f(D)$  is compact.

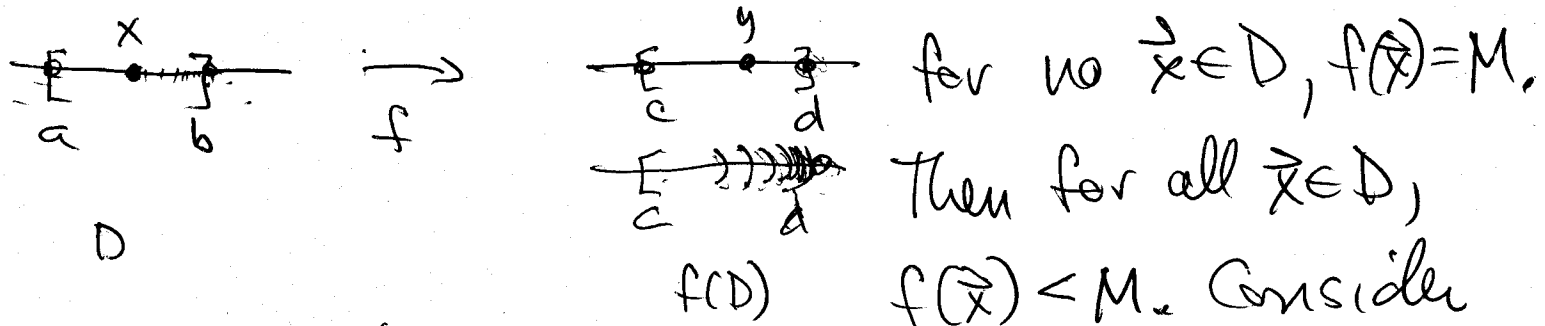
Theorem (Extreme Value Theorem). If  $D \subseteq \mathbb{E}^n$  is compact and if  $f \in C(D)$ , is a real-valued function, then  $f$  achieves its maximum and minimum values on  $D$ . In other words, there exist points  $\mathbf{x}_M$  and  $\mathbf{x}_m \in D$  such that  $f(\mathbf{x}) \leq f(\mathbf{x}_M)$  and  $f(\mathbf{x}) \geq f(\mathbf{x}_m)$  for all  $\mathbf{x} \in D$ .



Rem: Book uses fact that a compact set is closed & bounded ( $f(D)$  cpt  $\Rightarrow f(D)$  bounded)  $\Rightarrow \exists M = \sup f(D), m = \inf f(D)$ .  $f(D)$  closed  $\Rightarrow m$  and  $M$  are achieved.

PF: (1) B-W property: Since  $D$  is compact, so is  $f(D)$  hence it is bounded. Therefore  $m = \inf f(D)$  and  $M = \sup f(D)$  both exist. Will show that for some  $\vec{x}_M \in D$ ,  $f(\vec{x}_M) = M$ . Since  $M = \sup f(D)$  there is a sequence  $y_k \in f(D)$  such that  $y_k \rightarrow M$ . Let  $\vec{x}_k \in D$  satisfy  $f(\vec{x}_k) = y_k$ . Since  $\vec{x}_k \in D$ , by B-W there is an  $\vec{x}_0 \in D$  such that  $\vec{x}_{k_j} \rightarrow \vec{x}_0$  for some subsequence  $\vec{x}_{k_j}$ . Claim:  $f(\vec{x}_0) = M$ . Since  $f$  is continuous,  $\vec{x}_{k_j} \rightarrow \vec{x}_0 \Rightarrow f(\vec{x}_{k_j}) \rightarrow f(\vec{x}_0)$ . But  $f(\vec{x}_{k_j}) = y_{k_j}$  and  $y_{k_j} \rightarrow M$ . Hence  $f(\vec{x}_0) = M$ .

(2): H-B property: A gain since  $f(D)$  is bounded,  $m = \inf f(D)$  and  $M = \sup f(D)$  exist. Will show that for some  $\vec{x}_M \in D$ ,  $f(\vec{x}_M) = M$ . Suppose that



the open sets  $\mathcal{O}_k = (-\infty, M - \frac{1}{k})$ . Claim 1:

$f(D) \subseteq \bigcup_{k=1}^{\infty} \mathcal{O}_k$ . Claim 2:  ~~$\{ \mathcal{O}_k \}$~~   $\{ \mathcal{O}_k \}$  admits no

finite sub cover of  $f(D)$ .

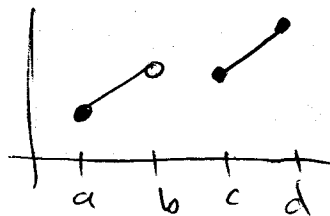
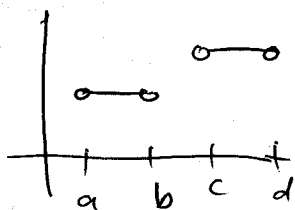
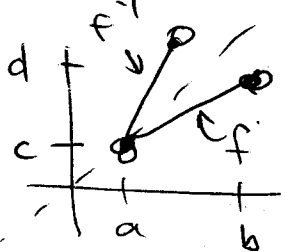
Details are an exercise.

**Theorem (9.3.3) (Open Mapping Theorem.)**

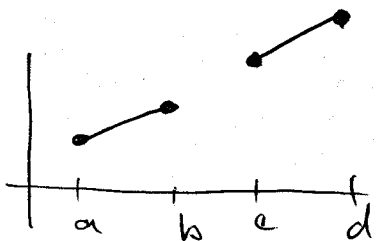
Let  $f \in C(D)$ ,  $f: D \rightarrow \mathbb{E}^m$ ,  $f$  is one-to-one. If  $D$  is compact then  $f^{-1}$  is continuous.

Rem: (a)  $f$  is one-to-one ~~and~~ implies  $f^{-1}$  exists as a function.

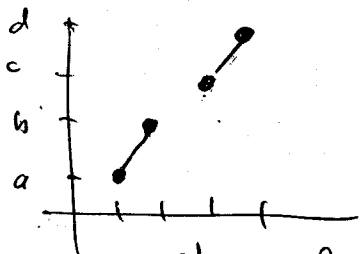
(b) If  $D$  is not compact,  $f^{-1}$  need not be continuous.



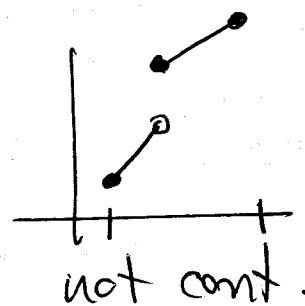
$f(x)$  1-1 on  $[a,b] \cup [c,d]$   
 $f^{-1}$  not cont.



$f$  cont, 1-1 on  $[a,b] \cup [c,d]$



$f^{-1}$  is also continuous



not cont.

PF: An idea: If we can show  $f(\text{open set})$  is open then this is  $(f^{-1})^{-1}(\text{open})$  is open, so  $f^{-1}$  continuous.

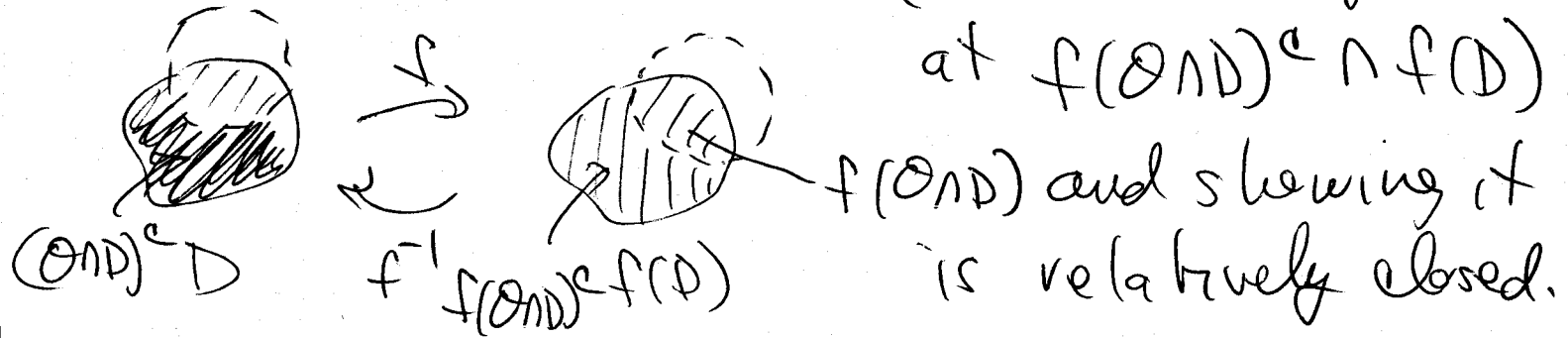
$f: D \subseteq \mathbb{E}^n \rightarrow \mathbb{E}^m$  so  $f: D \rightarrow f(D)$   $f^{-1}: f(D) \subseteq \mathbb{E}^m \rightarrow \mathbb{E}^n$

Let  $\mathcal{O} \subseteq \mathbb{E}^n$  be open. Consider  $(f^{-1})^{-1}(\mathcal{O})$ . Want to show this is relatively open in  $f(D)$ . Claim:  $(f^{-1})^{-1}(\mathcal{O}) = f(\mathcal{O})$

(exercise). So must show  $f(\mathcal{O})$  is open in  $f(D)$  if  $\mathcal{O} \subseteq \mathbb{E}^n$  is open. Actually since  $\mathcal{O}$  need not be subset of  $D$ , we are considering  $f(\mathcal{O} \cap D)$ .

Actually we will show that  $f(\emptyset \cap D)^c$  is relatively closed in  $f(D)$ . Claim:  ~~$f(\emptyset \cap D)^c$~~  Really looking

at  $f(\emptyset \cap D)^c \cap f(D)$ .



claim:  $f(\emptyset \cap D)^c = f((\emptyset \cap D)^c) = f(\emptyset^c \cup D^c)$   
 $= f(\emptyset^c) \cap f(D) = f(\emptyset)^c \cap f(D)$

Ugh... A correct proof follows.

Proof A: The idea is to show that  $f^{-1}$  is continuous by showing that the inverse image under  $f^{-1}$  of an open set in  $\mathbb{E}^n$  is relatively open in  $f(D)$ , the domain of  $f^{-1}$ . We will need several claims whose proofs are exercises.

Claim 1: If  $f: D \rightarrow f(D)$  is one-to-one then given  $A, B \subseteq D$ ,  $f(A \cap B) = f(A) \cap f(B)$ .

Claim 2: If  $f: D \rightarrow f(D)$  is one-to-one then given  $A \subseteq D$ ,  $f(D - A) = f(D) - f(A)$

Claim 3: If  $f: D \rightarrow f(D)$  is one-to-one then given  $A \subseteq \mathbb{E}^n$ ,  $(f^{-1})^{-1}(A) = f(A \cap D)$ .

Let  $\mathcal{O} \subseteq \mathbb{E}^n$  be open. Then  $(f^{-1})^{-1}(\mathcal{O}) = f(\mathcal{O} \cap D)$ . We must show that  $f(\mathcal{O} \cap D)$  is relatively open in  $f(D)$ . By a homework exercise it is enough to show that  $f(D) - f(\mathcal{O} \cap D)$  is relatively closed in  $f(D)$ . Since  $\mathcal{O} \cap D$  is relatively open in  $D$ ,  $D - (\mathcal{O} \cap D) = \mathcal{O}^c \cap D$  is relatively closed in  $D$  and since  $D$  is compact,  $f(\mathcal{O}^c \cap D)$  is compact (since  $\mathcal{O}^c \cap D$  is a closed subset of a compact set) and hence closed in  $\mathbb{E}^m$ . Since  $f(\mathcal{O}^c \cap D) \subseteq f(D)$  it is a closed subset of  $f(D)$ . But applying our claims,  $f(D) - f(\mathcal{O} \cap D) = f(D - (\mathcal{O} \cap D)) = f(D - \mathcal{O}) = f(\mathcal{O}^c \cap D)$ . Hence  $f(D) - f(\mathcal{O} \cap D)$  is relatively closed in  $f(D)$ .