

# Genetic Code

## A Matrix and Combinatoric Approach

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## Introduction

Genetic and Gray Code  
Matrices Relating to Genetic Code

## Eigenstructure of $D_n$

Eigenvalues  
Eigenvectors

## The Genetic Code Matrix $C_n$

Basic Structures of  $C_n$   
Hypercube Structure

# Acknowledgments

Thanks to Dr. Chi-Kwong Li, for advising me on my honors thesis and his dedication to this work.

I would also like to thank the Professors at William and Mary that have made CSUMS possible.

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- ▶ A genetic code map is  $g : C' \rightarrow A'$ , where  $C' = (\{x_1x_2x_3\}) : x_i \in R = \{A, C, G, U\}$ , where  $C'$  is the set of codons, and  $A'$  are the amino acids and termination codons.

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- ▶ The focus of this study is building matrices for different length nucleotide sequences, and how to represent the sequences more efficiently

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- ▶ In genetic transcription a mismatch in genetic coding segments will reduce the degree of mutation

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- ▶ Clearly since  $|G_n|$  doubles in size from  $|G_{n-1}|$  and  $G_1$  only has 2 entries,  $|G_n| = 2^n$
- ▶ Using two bit Gray code construction  $C \sim \begin{pmatrix} 0 \\ 0 \end{pmatrix}$ ,  $U \sim \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ ,  $G \sim \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ , and  $A \sim \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ .

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$$\text{▶ } C_1 = \begin{pmatrix} C & U \\ A & G \end{pmatrix}$$


$$C_2 \sim \begin{array}{cccc} & 00 & 01 & 11 & 10 \\ \begin{array}{c} 00 \\ 01 \\ 11 \\ 10 \end{array} & \begin{pmatrix} (00) \\ (00) \\ (01) \\ (00) \\ (11) \\ (00) \\ (10) \end{pmatrix} & \begin{pmatrix} (01) \\ (00) \\ (01) \\ (01) \\ (11) \\ (01) \\ (10) \end{pmatrix} & \begin{pmatrix} (11) \\ (00) \\ (11) \\ (11) \\ (11) \\ (11) \\ (10) \end{pmatrix} & \begin{pmatrix} (10) \\ (00) \\ (10) \\ (01) \\ (10) \\ (11) \\ (10) \end{pmatrix} \end{array}$$

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$$C_2 = \begin{pmatrix} CC & CU & UU & UC \\ CA & CG & UG & UA \\ AA & AG & GG & GA \\ AC & AU & GU & GC \end{pmatrix}$$

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- ▶ For example the codon  $CAG \sim \begin{pmatrix} 001 \\ 011 \end{pmatrix}$  has a hamming distance of 1, because the second position is different.
- ▶ Define  $D_n$  as the hamming distance matrix that computes the hamming distance between the entries of the cells of the genetic code matrix.

# $D_1$ and $D_2$

► Recall

$$C_1 \sim \begin{matrix} & 0 & 1 \\ 0 & \begin{pmatrix} 0 \\ 0 \end{pmatrix} & \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ 1 & \begin{pmatrix} 0 \\ 1 \end{pmatrix} & \begin{pmatrix} 1 \\ 1 \end{pmatrix} \end{matrix} \quad \text{so } D_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

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## ► And

$$C_2 \sim \begin{matrix} & 00 & 01 & 11 & 10 \\ \begin{matrix} 00 \\ 01 \\ 11 \\ 10 \end{matrix} & \begin{pmatrix} (00) \\ (00) \\ (00) \\ (00) \end{pmatrix} & \begin{pmatrix} (01) \\ (00) \\ (01) \\ (01) \end{pmatrix} & \begin{pmatrix} (11) \\ (11) \\ (01) \\ (11) \end{pmatrix} & \begin{pmatrix} (10) \\ (00) \\ (01) \\ (10) \end{pmatrix} \end{matrix} \quad \text{so } D_2 = \begin{pmatrix} 0 & 1 & 2 & 1 \\ 1 & 0 & 1 & 2 \\ 2 & 1 & 0 & 1 \\ 1 & 2 & 1 & 0 \end{pmatrix}$$



# Basic Properties of $D_n$

## Theorem

- (i) *The Hamming Distance-based matrix  $D_n$  is also a  $2^n \times 2^n$  matrix with Hamming distances of  $0, 1, 2, \dots, n$ . The common row/column sum of the matrix  $D_n$  equals  $n2^{n-1}$  and the total summation of the entries of the matrix  $D_n$  is  $n2^{2n-1}$ .*

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- (iii)  *$D_n$  is centrally embedded in  $D_{n+1}$*

## Recursion in $D_n$

### Theorem

Let  $D_n = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$  where  $B_{ij}$  is a  $2^{n-1} \times 2^{n-1}$  sub matrix.

Then

$$D_{n+1} = \begin{pmatrix} B_{11} & B_{12} & 2J_{n-1} + B_{11} & B_{12} \\ B_{12} & B_{11} & B_{12} & 2J_{n-1} + B_{12} \\ 2J_{n-1} + B_{11} & B_{12} & B_{11} & B_{12} \\ B_{12} & 2J_{n-1} + B_{11} & B_{12} & B_{11} \end{pmatrix}$$

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- ▶ Notice that if  $D_{n+1}$  is written in the  $4 \times 4$  block structure,  $D_n$  appears centrally embedded as a  $2 \times 2$  block.

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- ▶  $D_n$  stores information about  $C_n$ , however reduces the amount of information stored by a factor of  $n$ .

## Eigenvalues of $D_n$

- ▶ The matrix  $D_n \in M_{2^n}$  has  $n + 1$  nonzero eigenvalues equal to

$$n2^{n-1}, \overbrace{-2^{n-1}, -2^{n-1}, \dots, -2^{n-1}}^n.$$

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- ▶ Notice the first eigenvalue is the column row sum.

# Eigenvectors of $D_n$

- ▶ Recall  $D_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$
- ▶ It is easy to see that a set of orthonormal eigenvectors are  $v_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  and  $v_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

## Eigenvectors of $D_n$

▶ Also recall that  $D_2 = \begin{pmatrix} 0 & 1 & 2 & 1 \\ 1 & 0 & 1 & 2 \\ 2 & 1 & 0 & 1 \\ 1 & 2 & 1 & 0 \end{pmatrix}$

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$v_1 = \frac{1}{2} \begin{pmatrix} 1 \\ -1 \\ 1 \\ -1 \end{pmatrix}$ ,  $v_2 = \frac{1}{2} \begin{pmatrix} 1 \\ -1 \\ -1 \\ 1 \end{pmatrix}$ , and  $v_3 = \frac{1}{2} \begin{pmatrix} 1 \\ 1 \\ -1 \\ -1 \end{pmatrix}$

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 $\tilde{v}_{n+1} = \frac{1}{\sqrt{2}} \begin{pmatrix} v_0 \\ -v_0 \end{pmatrix}$
- ▶ These form an orthonormal set of eigenvectors of  $D_{n+1}$  corresponding to the nonzero eigenvalues.
- ▶ This lets us write the powers of  $D_n^k$ , in terms of the knowing nothing other than  $D_n$ ,  $n$  and  $k$

## The Basics of $C_n$

- ▶ The genetic code-base matrix  $C_n$  is a  $2^n \times 2^n$  matrix with RNA bases of length  $n$ . Each two neighboring entries of genetic code, in both directions differs by exactly one base.
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- ▶ The genetic code matrix can be defined recursively
- ▶ If  $C_n$  is the genetic code matrix then

$$C_{n+1} = \begin{pmatrix} C || C_n & U || C_n F_n \\ A || F_n C_n & G || F_n C_n F_n \end{pmatrix}$$

- ▶ Note that  $F_n$  is a matrix that has 1's on it's off diagonal

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- ▶ The 4-tuple would be  $(x_C, x_U, x_A, x_G)$ , where  $x_i =$  number of that nucleotide per cell.
- ▶ Label the matrix  $S_n$  to count the number of nucleotides per cell. Then

$$S_{n+1} = \begin{pmatrix} (1000) + S_n & (0100) + S_n F_n \\ (0010) + F_n S_n & (0001) + F_n S_n F_n \end{pmatrix}$$

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- ▶ Each permutation matrix can be as a vertex of a hypercube and within that vertex there is a subcube
- ▶ There is also a Hamilton circuit between the all nucleotide sequences, where two nucleotide sequences are adjacent if and only if they differ by exactly one position.
- ▶ This may be promising in the study of mutations in genetic code.

## Further Research

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- ▶ Information is lost with  $D_n$  and  $S_n$ , i.e. order of nucleotides
- ▶ Eventually it would be useful to construct matrices that were polynomial in size